



# CAPITAL ADVISORS

## Income Bond

---

<b>Objective:</b>	Capital Preservation	<b>Process:</b>	Fundamental
<b>Asset Class:</b>	Fixed Income	<b>Implementation:</b>	ETFs
<b>Holdings:</b>	3-5	<b>Style Box:</b>	IG/HY Credit
<b>Inception:</b>	December 2011	<b>Structure:</b>	SMA

### **Description:**

Capital Advisors' Income Bond Strategy utilizes fixed income-focused exchange-traded funds ("ETFs") to maximize monthly cash flows for investors. The strategy can invest across government securities, corporate credit, agency securities, emerging market debt, and high yield bonds, with a focus on maximizing income for investors.

### **Process:**

We combine credit research with yield curve optimization to maximize income from the credit markets within the boundaries of a priority to preserve capital. We are constantly evaluating both the interest rate and credit environment, and can alter the weightings to the various fixed income asset classes dependent upon our view.

### **Role in Portfolio:**

The Income Bond Strategy extends out on the yield curve and includes a broader range of credit quality to generate a higher level of income.

*Please see disclosures on back page  
This information is supplemental to a compliant presentation*

## DISCLOSURES

### Introduction

This presentation is not an offer or a solicitation to buy or sell securities. The information contained in this presentation has been compiled from third party sources and is believed to be reliable; however its accuracy is not guaranteed and should not be relied upon in any way, whatsoever. This presentation may not be construed as investment advice and does not give investment recommendations.

This commentary does not purport to be a statement of all material facts relating to the securities mentioned. The information contained herein, while not guaranteed as to accuracy or completeness, has been obtained from sources believed to be reliable. Opinions expressed herein constitute the judgment of Capital Advisors, Inc. as of the date of this report, and are subject to change without notice. Performance information for periods greater than one year is annualized.

Security Recommendations: The investments presented are examples of the securities held, bought and/or sold in the Capital Advisors strategies during the last 12 months. These investments may not be representative of the current or future investments of those strategies. You should not assume that investments in the securities identified in this presentation were or will be profitable. We will furnish, upon your request, a list of all securities purchased, sold or held in the strategies during the 12 months preceding the date of this presentation. It should not be assumed that recommendations made in the future will be profitable or will equal the performance of securities identified in this presentation. Capital Advisors, Inc., or one or more of its officers or employees, may have a position in the securities presented, and may purchase or sell such securities from time to time.

### Items of Note Regarding Exchange Traded Funds

An Exchange Traded Fund (ETF) is an investment company that typically has an investment objective of striving to achieve a similar return as a particular market index. The ETF will invest in either all, or a representative sample of the securities included in the index it is seeking to imitate. Like closed-end funds, ETFs can be traded on a secondary market and thus have a market price that may be higher or lower than their net asset value (NAV). If these shares trade at a price above their NAV they are said to be trading at a premium. Conversely, if they are trading at a price below their NAV, they are said to be trading at a discount.

A complete list of Capital Advisor's portfolio models and performance results is available upon request. Additional information, including management fees and expenses, is provided on Capital Advisors' Form ADV Part 2. **As with any investment strategy, there is potential for profit as well as the possibility of loss.**

Capital does not guarantee any minimum level of investment performance or the success of any portfolio or investment strategy. All investments involve risk (the amount of which may vary significantly) and investment recommendations will not always be profitable. The investment return and principal value of an investment will fluctuate so that an investor's portfolio may be worth more or less than its original cost at any given time.

The underlying holdings of any presented portfolio are not federally or FDIC-insured and are not deposits or obligations of, or guaranteed by, any financial institution. ***Past performance is not a guarantee of future results.***

Capital Advisors, Inc. does not provide tax or legal advice and recommends you consult with your tax and/or legal adviser for such guidance. Presentation is prepared by: **Capital Advisors, Inc. Copyright © 2018, by Capital Advisors, Inc.**  
www.capitaladv.com 1-866-230-5879

20170413R